

1. We prove the more general case first, and then use this to do parts (a) and (b).

A subset S of vertices of an undirected graph G is said to be **k -independent** if each vertex in S is adjacent to at most k other vertices in S . Notice that independent sets are 0-independent, half independent sets are 1-independent, and sort of independent sets are 374-independent. We claim that finding the size of the largest k -independent set of vertices is NP-hard for any $k \geq 1$. We show this by reducing MAXINDEPENDENTSET to k -MAXINDEPENDENTSET, an algorithm to solve the maximum size of any k -independent set.

Given a graph $G = (V, E)$, our reduction is as follows.

- (i) Let $V' = V \times \{0, 1, \dots, k\}$.
- (ii) For each edge $u \rightarrow v$ in E , make the edge $(u, 0) \rightarrow (v, 0)$ in E' .
- (iii) For each vertex $v \in V$, make the edges $(v, 0) \rightarrow (v, i)$ for all $i \in \{1, \dots, k\}$.
- (iv) Let $G' = (V', E')$. Return k -MAXINDEPENDENTSET(G') $- k|V|$.

We can naturally embed G into G' by setting the second slot to 0, so we will use v to talk about $(v, 0)$ and v_i to talk about the other (v, i) .

The crux of this reduction lies in the equivalence between maximal independent sets in G and k -maximal independent sets in G' . We wish to prove the claim that $\text{MAXINDEPENDENTSET}(G) = k\text{-MAXINDEPENDENTSET}(G') - k|V|$. Given a maximal independent S set in G , we can just add all the v_i for each vertex $v \in V$, and this new set is clearly k -independent, so we have the bound

$$k\text{-MAXINDEPENDENTSET}(G') \geq \text{MAXINDEPENDENTSET}(G) + k|V|.$$

To prove the other bound, we further claim that deleting all the v_i from a maximal k -independent set in G' forms an independent set in G . Indeed, suppose the contrary and we have some edge $u \rightarrow v$ in G and u, v exist in our maximal k -independent set. Now u is adjacent to at most $k - 1$ of the u_i and v is adjacent to at most $k - 1$ of the v_i . If we delete u , we can add in one more of the u_i , because they are only adjacent to u , as well as one more of the v_i , because v is no longer adjacent to u , contradicting the maximality of the k -independent set.

We now claim that a maximal k -independent set contains all of the v_i . This is quite obvious, as if we are missing a particular v_i , we can always add it to the set without breaking the k -independent condition. These two claims show the bound

$$\text{MAXINDEPENDENTSET}(G) \geq k\text{-MAXINDEPENDENTSET}(G') - k|V|.$$

The two bounds imply the equality, so the reduction is correct. The reduction is also polynomial time, so k -MAXINDEPENDENTSET is NP-hard.

- (a) Pick $k = 1$.
- (b) Pick $k = 374$.

2. (a) Let REGEXNOTKLEENESTAR be an algorithm that solves the given problem.

Given m clauses c_1, \dots, c_m and n variables x_1, \dots, x_n , we reduce 3SAT to REGEXNOTKLEENESTAR as follows. We will assume that each of the c_i are not unconditionally TRUE. If such a case does happen, we can delete this clause and the result of 3SAT does not change.

- (i) For each clause c_i , define the regular expression $r_i = X_1 \cdots X_n$, where $X_j = 0 + 1$ if the value of c_i does not depend on x_j (i.e. x_j and $\neg x_j$ do not occur), $X_j = 0$ if x_j occurs, and $X_j = 1$ if $\neg x_j$ occurs. Notice that x_j and $\neg x_j$ cannot both occur, because then the clause would be unconditionally TRUE.
- (ii) Let $R = r_1 + \cdots + r_m$.
- (iii) Let $R' = R\Sigma^* + \sum_{i=0}^{n-1} \Sigma^i$.
- (iv) Return the result of REGEXNOTKLEENESTAR on R' .

We claim that the 3SAT instance is unsatisfiable if and only if $L(R) = \Sigma^n$.

3SAT is unsatisfiable if every choice of assignments yields a FALSE computation. If an assignment yields FALSE, at least one of the clauses c_i is FALSE and the corresponding regular expression r_i represents the set of assignments that make c_i FALSE. This shows the implication 3SAT unsatisfiable $\implies L(R) = \Sigma^n$.

To show the other implication, suppose $L(R) = \Sigma^n$. As stated before, each term r_i represents the set of all assignments that make the clause c_i FALSE. The regular expression union represents the set of all assignments that make *some* clause FALSE. If all assignments make some clause FALSE, then the 3SAT instance is unsatisfiable, proving the claim.

Finally, $R\Sigma^*$ represents all strings in Σ^* with length n prefixes in R and the latter sum terms in R' represent all the strings that are too short. If a 3CNF formula is unsatisfiable, then $R = \Sigma^n$ and $R' = \Sigma^*$, so REGEXNOTKLEENESTAR returns FALSE. If a 3CNF formula is satisfiable, then $R \neq \Sigma^n$ and $R' \neq \Sigma^*$, so REGEXNOTKLEENESTAR will return TRUE.

The reduction runs in at most $O(mn + n^2)$ time, which is a polynomial time reduction, so REGEXNOTKLEENESTAR is NP-hard.

- (b) Let NFANOTKLEENESTAR be an algorithm that solves the given problem.

Given a regular expression R of length n , we reduce from REGEXNOTKLEENESTAR as follows.

- (i) Run Thompson's algorithm on R to obtain a NFA, taking $O(n)$ time.
- (ii) Return the result of NFANOTKLEENESTAR.

This reduction is correct because the NFA generated from Thompson's Algorithm accepts if and only if the given regular expression accepts, so the NFA accepts Σ^* if and only if $L(R) = \Sigma^*$. The contrapositive of the previous statement is what we want.

The reduction runs in $O(n)$ time, which makes NFANOTKLEENESTAR NP-hard.

3. We construct a Turing Machine that decides SELFACCEPT by using a hypothetical Turing machine SSA that decides SELFSELFACCEPT.

For a given input $\langle M \rangle$, our Turing machine will

- (i) Construct the encoding $\langle A \rangle$ of a Turing machine that replaces its input tape with $\langle M \rangle$ and then runs M (it essentially runs M on the fixed input $\langle M \rangle$). In the Python analog, this is equivalent to EVAL.
- (ii) Return the result of SSA on $\langle A \rangle$.

If M accepts $\langle M \rangle$, then A will accept every input. In particular, it will accept $\langle A \rangle \bullet \langle A \rangle$, so SSA will accept. On the other hand, if M does not accept $\langle M \rangle$, A will reject every input. In particular, it will reject $\langle A \rangle \bullet \langle A \rangle$, so SSA will reject. If SSA is a valid Turing machine, then our constructed Turing machine is a valid Turing machine that decides SELFACCEPT, which is known to be undecidable. We conclude that SSA must not exist and therefore SELFSELFACCEPT is undecidable.